

## Policy regarding training of employees.

Last Reviewed on 01<sup>st</sup> February, 2023

### Employees' Hiring/Employee's Training/ Investor Education:

#### ➤ Hiring of Employees:

As Member, we have adequate screening procedures in place to ensure high standards when hiring employees. We identify the key positions within organization structures having regard to the risk of money laundering and terrorist financing and the size of their business and ensure the employees taking up such key positions are suitable and competent to perform their duties.

#### ➤ Employees' Training:

We have an ongoing employee training programme so that the members of the staff are adequately trained in AML and CFT procedures. Training requirements have specific focuses for frontline staff, back-office staff, compliance staff, risk management staff and staff dealing with new clients. It is crucial that all those concerned fully understand the rationale behind these directives, obligations and requirements, implement them consistently and are sensitive to the risks of their systems being misused by unscrupulous elements

