

RAJESH BAHETI

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EXECUTION OF ORDERS

We hereby assure to put-in place a mechanism to limit the cumulative value of all unexecuted orders placed from their terminals to below a threshold limit set by the Members.

We enhance monitoring of the operating controls to ensure implementation of the checks.

Member has reviewed the limits:-

- Quantity limit for each order
- Value limit for each order
- User value limit for each User ID
- Branch value limit for Branch ID
- Spread order Quantity and Value Limit

Compliance officer is responsible for the submission of Compliance Certificate for the All the Exchanges on a quarterly basis including below mentioned confirmation.

- The limits are setup after assessing the risks of the corresponding user Id & Branch Id.
- The limits are setup after taking into account the member's capital adequacy requirement.
- All the limits are reviewed regularly and the limit is in the system are up to date.
- All the branch or user have got limits defined and that No user or branch in the system is having unlimited limits on the above stated parameters.
- Daily record of these limits is preserved.

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